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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/907,182	08/06/1997	SHUNPEI YAMAZAKI	07977/023002	7978

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EXAMINER

DIAMOND, ALAN D

ART UNIT	PAPER NUMBER
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1753

DATE MAILED: 11/21/2002

32

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 08/907,182	Applicant(s) YAMAZAKI ET AL.	
	Examiner Alan Diamond	Art Unit 1753	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 04 November 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 26-30,32-55,57-71,73-76,78,79,81-91,93-99 and 103-106 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 26-30,32-55,57-71,73-76,78,79,81-91,93-99 and 103-106 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 06 August 1997 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's amendment filed on September 26, 2002 has now been entered.

Comments

2. All of the 25 USC 102 and obviousness-type double patenting rejections in the Final Rejection mailed June 27, 2002, have been overcome by Applicant's amendment of the instant independent claims. In particular, the Examiner agrees with applicant that the art relied upon by the Examiner in said Final Rejection does not teach or suggest using phosphorus as a gettering material, or that the gettering material is introduced in to a portion of the crystallized semiconductor film.

Claim Rejections - 35 USC § 112

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

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Each of independent claims 26, 34, 42, 51, 59, 67, 76, and 81-89 is indefinite because it is not clear exactly what kind of device is produced. Line 1 of each of the independent claims should at least be amended to recite "A method of manufacturing a semiconductor device" so as to clearly point out that it is a semiconductor device that is prepared. The same applies to dependent claims 27-30, 32, 33, 35-41, 43-50, 52-55, 57, 58, 60-66, 68-71, 73-75, 78, 79, 90, 91, 93-99, and 103-106.

Double Patenting

5. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

6. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-62 of U.S. Patent No. 5,961,743. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

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7. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-48 of U.S. Patent No. 6,066,518. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

8. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-49 of U.S. Patent No. 6,156,628. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

9. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-38 of U.S. Patent No. 6,162,704. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

10. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-34 of U.S. Patent No. 6,197,626. Although the conflicting claims are not identical, they are not patentably distinct from each other

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because the instantly claimed method is taught and suggested the method in the claims of said patent.

11. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-38 of U.S. Patent No. 6,232,205. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

12. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-44 of U.S. Patent No. 6,242,290. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

13. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-29 of U.S. Patent No. 6,303,415. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

14. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as

being unpatentable over claims 1-10 of U.S. Patent No. 6,348,368. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

15. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-38 of U.S. Patent No. 6,355,509. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

16. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-73 of U.S. Patent No. 6,368,904. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

17. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-39 of U.S. Patent No. 6,399,454. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

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18. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-23 of U.S. Patent No. 6,420,246. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

19. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-35 of U.S. Patent No. 6,426,276. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

20. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-30 of U.S. Patent No. 6,432,756. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

21. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-57 of U.S. Patent No. 6,448,118. Although the conflicting claims are not identical, they are not patentably distinct from each other

because the instantly claimed method is taught and suggested the method in the claims of said patent.

22. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-10 of U.S. Patent No. 6,458,637. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

23. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-52 of U.S. Patent No. 6,461,943. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

24. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-36 of U.S. Patent No. 6,479,333. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said patent.

25. Claims 26-30, 32-55, 57-71, 73-76, 78, 79, 81-91, 93-99, and 103-106 are provisionally rejected under the judicially created doctrine of obviousness-type double

patenting as being unpatentable over all of the claims of copending Application No. 09/939,767. Although the conflicting claims are not identical, they are not patentably distinct from each other because the instantly claimed method is taught and suggested the method in the claims of said copending application.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.


Conclusion

26. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Gotou et al, U.S. Patent 6,436,745, is hereby made of record.

27. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alan Diamond whose telephone number is 703-308-0840. The examiner can normally be reached on Monday through Friday, 6:15 a.m. to 2:45 p.m. ET.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nam Nguyen can be reached on 703-308-3322. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9310 for regular communications and 703-872-9311 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0661.



Alan Diamond
Primary Examiner
Art Unit 1753

Alan Diamond
November 12, 2002